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#### BEFORE THE ARIZONA MEDICAL BOARD

In the Matter of

BRIAN DIEP, M.D.

License No. 34428
For the Practice of Allopathic Medicine
In the State of Arizona.

Case No. MD-07-0085A

CONSENT AGREEMENT FOR LETTER OF REPRIMAND AND PROBATION

### **CONSENT AGREEMENT**

By mutual agreement and understanding, between the Arizona Medical Board ("Board") and Brian Diep, M.D. ("Respondent"), the parties agreed to the following disposition of this matter.

- Respondent has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order ("Consent Agreement").
   Respondent acknowledges he has the right to consult with legal counsel regarding this matter.
- 2. By entering into this Consent Agreement, Respondent voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Consent Agreement in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Consent Agreement.
- This Consent Agreement is not effective until approved by the Board and signed by its Executive Director.
- 4. The Board may adopt this Consent Agreement of any part thereof. This Consent Agreement, or any part thereof, may be considered in any future disciplinary action against Respondent.
- 5. This Consent Agreement does not constitute a dismissal or resolution of other matters currently pending before the Board, if any, and does not constitute any

waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding. The acceptance of this Consent Agreement does not preclude any other agency, subdivision or officer of this State from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.

- 6. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.
- 7. Upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke the acceptance of the Consent Agreement. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- 8. If the Board does not adopt this Consent Agreement, Respondent will not assert as a defense that the Board's consideration of this Consent Agreement constitutes bias, prejudice, prejudgment or other similar defense.
- 9. This Consent Agreement, once approved and signed, is a public record that will be publicly disseminated as a formal action of the Board and will be reported to the National Practitioner Data Bank and to the Arizona Medical Board's website.
- 10. If any part of the Consent Agreement is later declared void or otherwise unenforceable, the remainder of the Consent Agreement in its entirety shall remain in force and effect.

- 11. Any violation of this Consent Agreement constitutes unprofessional conduct and may result in disciplinary action. A.R.S. § § 32-1401(27)(r) ("[v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under this chapter") and 32-1451.
  - 12. Respondent has read and understands the condition(s) of probation.

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BRIAN DIEP	, M.D.	

DATED: 7/20/07

### **FINDINGS OF FACT**

- The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- Respondent is the holder of license number 34428 for the practice of allopathic medicine in the State of Arizona.
- 3. The Board initiated case number MD-07-0085A after receiving a complaint regarding Respondent's care and treatment of a sixty-four year-old old patient ("MG").
- 4. On December 18, 2003, MG presented to Respondent in an urgent care after suffering a horse bite injury to her finger. Respondent examined MG and listed the laceration as 5 cm with no foreign debris with MG's flexion and extension intact. Respondent repaired the laceration and discharged her on Augmentin. Respondent did not order an x-ray of her finger and he did not provide sufficient documentation explaining the reasons for not ordering the x-ray. Respondent also did not refer her to a hand or plastic surgeon for further evaluation.
- 5. On December 20, 2006, MG presented again to the urgent care with an infected hand. MG received an x-ray and was referred to the emergency room with a swollen left hand with erythema and tenderness of the index finger. MG presented the x-rays from the urgent care that demonstrated a displaced fracture of the proximal phalanx second digit left hand with dorsal displacement.
- On that same day, MG underwent surgery to debride the wound and stabilize the fracture. The debridement and stabilization was performed again on December 21, 2006. MG was discharged on December 22, 2006.
- 7. When a patient presents with a horse bite wound, the standard of care requires a physician to appropriately evaluate and treat the patient including order x-rays

to rule out a fracture. If a fracture is diagnosed, the standard of care requires a physician to stabilize the fracture and refer the patient to a hand or plastic surgeon.

- 8. Respondent deviated from the standard of care because he did not appropriately evaluate and treat MG for a horse bite wound and failed to order x-rays of her finger to rule out a fracture. Respondent also did not refer MG to a hand or plastic surgeon.
- As a result, MG's diagnosis of open fracture of the index finger was delayed,
   which required multiple surgeries and prolonged medical care.
- 10. A physician is required to maintain adequate legible medical records containing, at a minimum, sufficient information to identify the patient, support the diagnosis, justify the treatment, accurately document the results, indicate advice and cautionary warnings provided to the patient and provide sufficient information for another practitioner to assume continuity of the patient's care at any point in the course of treatment. A.R.S. § 32-1401(2). Respondent's records were inadequate because he did not provide sufficient documentation for not ordering an x-ray of MG's finger.

### CONCLUSIONS OF LAW

- The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. § 32-1401(27) (e) ("[f]ailing or refusing to maintain adequate records on a patient."); A.R.S. § 32-1401(27)(q) ("[a]ny conduct or practice that is or might be harmful or dangerous to the health of the patient or the public.") and A.R.S. § 32-1401(27)(II) ("[c]onduct that the board determines is gross negligence, repeated negligence or negligence resulting in harm to or death of a patient.").

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#### ORDER

#### IT IS HEREBY ORDERED THAT:

- Respondent is issued a Letter of Reprimand for failing to appropriately evaluate and treat a patient with a horse bite injury to her hand and for failing to maintain adequate medical records.
- 2. Respondent is placed on probation for **one year** with the following terms and conditions:

### A. Continuing Medical Education

Respondent shall within one year of the effective date of this Order obtain ten hours of Board Staff pre-approved Category I Continuing Medical Education (CME) in hand injuries and provide Board Staff with satisfactory proof of attendance. The CME hours shall be in addition to the hours required for the biennial renewal of medical license. The probation shall terminate upon successful completion of the CME.

### B. Obey All Laws

Respondent shall obey all state, federal and local laws, all rules governing the practice of medicine in Arizona, and remain in full compliance with any court order criminal probation, payments and other orders.

### C. Tolling

In the event Respondent should leave Arizona to reside or practice outside the State or for any reason should Respondent stop practicing medicine in Arizona, Respondent shall notify the Executive Director in writing within ten days of departure and return or the dates of non-practice within Arizona. Non-practice is defined as any period of time exceeding thirty days during which Respondent is not engaging in the practice of medicine. Periods of temporary or permanent residence or practice outside Arizona or of non-practice within Arizona, will not apply to the reduction of the probationary period.

1	3. This Order is the final disposition of case number MD-07-0085A.
2	DATED AND EFFECTIVE this day of leggest, 2007.
3	THE THE PARTY OF T
4	ARIZONA MEDICAL BOARD
5	(SEAL)
6	By E Call
7	TIMOTHY C. MILLER, J.D.  Executive Director
8	ORIGINAL of the foregoing filed this 2 day of 2007 with:
9	Arizona Medical Board
10	9545 E. Doubletree Ranch Road
11	Scottsdale, AZ 85258
12	EXECUTED COPY of the foregoing mailed this day of the foregoing mailed , 2007 to:
13	Brian Diep, M.D.
14	Address of Record
15	(hus same
16	Investigational Review
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